

## COVER PAGE

Please type or print in ink.

NAME OF FILER

(LAST)

(FIRST)

(MIDDLE)

MANDEL

MARCY

JU

## 1. Office, Agency, or Court

Agency Name (Do not use acronyms)

STATE CONTROLLER'S OFFICE

Division, Board, Department, District, if applicable

EXECUTIVE OFFICE

Your Position

DEPUTY STATE CONTROLLER, TAXATION

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency:

SEE ATTACHED

Position:

CONTROLLER'S DEPUTY-DESIGNEE

## 2. Jurisdiction of Office (Check at least one box)

☒ State☐ Judge or Court Commissioner (Statewide Jurisdiction)☐ Multi-County☐ County of☐ City of☐ Other

## 3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2013, through December 31, 2013.

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2013.

☐ Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)☐ The period covered is January 1, 2013, through the date of leaving office.☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office.☐ Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_☐ Candidate: Election year \_\_\_\_ and office sought, if different than Part 1: \_\_\_\_

## 4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 37

☒ Schedule A-1 - Investments - schedule attached☐ Schedule C - Income, Loans, & Business Positions - schedule attached☐ Schedule A-2 - Investments - schedule attached☒ Schedule D - Income - Gifts - schedule attached☐ Schedule B - Real Property - schedule attached☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

## 5. Verification

MAILING ADDRESS

STREET

CITY

STATE

ZIP CODE

(Business or Agency Address Recommended - Public Document)

777 SOUTH FIGUEROA ST, STE 4800

LOS ANGELES

CA

90017

DAYTIME TELEPHONE NUMBER

(213) 833 6010

E-MAIL ADDRESS (OPTIONAL)

mmandel@sc0.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that I

Date Signed

(month, day, year)

3/31/14

Signature

MARCY JO MANDEL

BOARDS WHERE DESIGNATED TO REPRESENT  
THE CONTROLLER

STATE BOARD OF EQUALIZATION

FRANCHISE TAX BOARD

CALIFORNIA DEBT LIMIT ADVISORY COMMITTEE

CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

OCEAN PROTECTION COUNCIL

STATE LANDS COMMISSION

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
ABBOTT LABORATORIES

GENERAL DESCRIPTION OF THIS BUSINESS  
HEALTHCARE-PHARMACEUTICAL-MEDICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / 16 / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
AMERICA MOVIE SAB DE CV

GENERAL DESCRIPTION OF THIS BUSINESS  
WIRELESS COMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
AEGON NV

GENERAL DESCRIPTION OF THIS BUSINESS  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
AMERICAN WATER WORKS CO. INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
WATER UTILITY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
AKAMAI TECHNOLOGIES INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
INTERNET TECHNOLOGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
AMERISOURCE BERGEN CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
AMGEN INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL-BIOTECH

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000  
☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other (Describe)  
☐ Partnership  
☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 13  
 ACQUIRED  
 / / 13  
 DISPOSED

NAME OF BUSINESS ENTITY  
APACHE CORP.

GENERAL DESCRIPTION OF THIS BUSINESS  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other (Describe)  
☐ Partnership  
☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 13  
 ACQUIRED  
 5/23/13  
 DISPOSED

NAME OF BUSINESS ENTITY  
ANADARKO PETROLEUM CORP.

GENERAL DESCRIPTION OF THIS BUSINESS  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other (Describe)  
☐ Partnership  
☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 13  
 ACQUIRED  
 / / 13  
 DISPOSED

NAME OF BUSINESS ENTITY  
APPLE INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
COMPUTERS & PERIPHERALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other (Describe)  
☐ Partnership  
☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 13  
 ACQUIRED  
 / / 13  
 DISPOSED

NAME OF BUSINESS ENTITY  
AMHEUSER-BUSCH INBEV

GENERAL DESCRIPTION OF THIS BUSINESS  
BEVERAGES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock  
☐ Other (Describe)  
☐ Partnership  
☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 13  
 ACQUIRED  
 / / 13  
 DISPOSED

NAME OF BUSINESS ENTITY  
ARCHER DANIELS

GENERAL DESCRIPTION OF THIS BUSINESS  
AGRICULTURAL PROCESSING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock  
☒ Other BOND (Describe)  
☐ Partnership  
☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 / / 13  
 ACQUIRED  
 / / 13  
 DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
ASML HOLDING NV

GENERAL DESCRIPTION OF THIS BUSINESS  
SEMICONDUCTOR & SEMICONDUCTOR EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ATLAS COPCO AB

GENERAL DESCRIPTION OF THIS BUSINESS  
INDUSTRIAL PRODUCTIVITY SOLUTIONS  
INDUSTRIAL TOOLS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ASTELLAS PHARMA INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
AUTODESK INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
SOFTWARE & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ASTRAZENECA PC

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
AXA S.A.

GENERAL DESCRIPTION OF THIS BUSINESS  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
BARCLAYS PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
FINANCIAL-BANK

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13      \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
BED BATH & BEYOND

GENERAL DESCRIPTION OF THIS BUSINESS  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13      \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
BASF-SE

GENERAL DESCRIPTION OF THIS BUSINESS  
CHEMICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13      \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
BIOGEN IDEC INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
BIOTECHNOLOGY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13      \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
BAXTER INTERNATIONAL INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
HEALTHCARE - MEDICAL DEVICES, BIOTECH, PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13      \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
BLACK ROCK INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
FINANCIAL-INVESTING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13      \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**  
**Investments**  
**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

▶ NAME OF BUSINESS ENTITY

BP - PLC

GENERAL DESCRIPTION OF THIS BUSINESS

ENERGY - OIL & GAS

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY

BROADCOM CORP

GENERAL DESCRIPTION OF THIS BUSINESS

SEMICONDUCTOR & SEMICONDUCTOR EQMT

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY

CA INCORPORATED

GENERAL DESCRIPTION OF THIS BUSINESS

IT MANAGEMENT SOFTWARE & SOLUTIONS

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY

CABLEVISION SYSTEMS CORP

GENERAL DESCRIPTION OF THIS BUSINESS

MEDIA

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY

CAMERON INTERNATIONAL CORP.

GENERAL DESCRIPTION OF THIS BUSINESS

ENERGY EQMT & SERVICES

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY

CANON INC.

GENERAL DESCRIPTION OF THIS BUSINESS

OFFICE EQMT

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
CARLEFOUR SA

GENERAL DESCRIPTION OF THIS BUSINESS  
SUPERMARKETS/DEPT STORES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/13    \_\_\_\_\_/\_\_\_\_\_/13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
CHARLES SCHWAB CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
INVESTING/FINANCIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/13    \_\_\_\_\_/\_\_\_\_\_/13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
CELGENE CORP.

GENERAL DESCRIPTION OF THIS BUSINESS  
BIOTECHNOLOGY - BIOPHARM

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/13    \_\_\_\_\_/\_\_\_\_\_/13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
CINCINNATI FINANCIAL CORP.

GENERAL DESCRIPTION OF THIS BUSINESS  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/13    \_\_\_\_\_/\_\_\_\_\_/13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
CEMEX S.A.B. DE CV

GENERAL DESCRIPTION OF THIS BUSINESS  
CEMENT, AGGREGATES, CONSTRUCTION MATERIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/13    \_\_\_\_\_/\_\_\_\_\_/13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
CISCO SYSTEMS INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
COMMUNICATIONS EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_/\_\_\_\_\_/13    \_\_\_\_\_/\_\_\_\_\_/13  
 ACQUIRED                      DISPOSED

Comments: \_\_\_\_\_



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
CITRIX SYSTEMS INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
TECHNOLOGY SOLUTIONS FOR IT SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
COVIDIEN PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
HEALTHCARE PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
CME GROUP INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
FINANCIAL INVESTMENTS & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
CREE RESEARCH INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
LED PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
COCA-COLA CO

GENERAL DESCRIPTION OF THIS BUSINESS  
FOOD & BEVERAGES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
CRH PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
BUILDING MATERIAL MFG

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
CUS CAREMARK CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
RETAILING - DRUGS & COSMETICS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
DEUTSCHE TELEKOM SA

GENERAL DESCRIPTION OF THIS BUSINESS  
TELECOMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
DANAHER CORP.

GENERAL DESCRIPTION OF THIS BUSINESS  
MANUFACTURING & TECHNOLOGY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☒ Other BOND (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
DIAGEO PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
DRINKS (ALCOHOLIC)

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
DAIICHI SANKYO CO LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
DIGITAL REALTY TRUST INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
REIT - REAL ESTATE INVESTMENT TRUST

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
DIRECT TV

GENERAL DESCRIPTION OF THIS BUSINESS  
DIGITAL TV ENTERTAINMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 13           /        / 13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
EATON CORP PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
MACHINERY-POWER MANAGEMENT COMPANY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 13           /        / 13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
DOLBY LABORATORIES INC

GENERAL DESCRIPTION OF THIS BUSINESS  
TECHNOLOGY-ENTERTAINMENT INDUSTRY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 13           /        / 13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
ELI LILLY & CO.

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 13    10 / 11 / 13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
RR DONNELLY & SONS CO.

GENERAL DESCRIPTION OF THIS BUSINESS  
PRINTING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 13    11 / 26 / 13  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY  
EMC CORP.

GENERAL DESCRIPTION OF THIS BUSINESS  
DISK STORAGE SYSTEMS-TECH

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 13           /        / 13  
 ACQUIRED                      DISPOSED

Comments:

**SCHEDULE A-1**  
**Investments**  
**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
ENI SPA

GENERAL DESCRIPTION OF THIS BUSINESS  
ENERGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ENSCO PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
OFFSHORE CONTRACT DRILLING SERVICES  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
EOG RESOURCES INC

GENERAL DESCRIPTION OF THIS BUSINESS  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      4, 18/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ERICSSON LM TEL CO

GENERAL DESCRIPTION OF THIS BUSINESS  
COMMUNICATIONS EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
EXPRESS SCRIPTS HOLDING CO

GENERAL DESCRIPTION OF THIS BUSINESS  
HEALTH CARE MGMT & ADMIN

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
FIRST PACIFIC LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
INVESTMENT MGMT & HOLDING CO.

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**  
**Investments**  
**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
FLUOR CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
ENGINEERING/CONSTRUCTION

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
GDF SUEZ

GENERAL DESCRIPTION OF THIS BUSINESS  
NATURAL GAS & ELECTRICITY SUPPLIER

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
FOREST LABORATORIES

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
GENERAL ELECTRIC CO.

GENERAL DESCRIPTION OF THIS BUSINESS  
ELECTRICAL EQMT

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
FRANCE TELECOM (NOW: ORANGE S.A.)

GENERAL DESCRIPTION OF THIS BUSINESS  
TELECOMMUNICATIONS SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE: NAME CHANGE/REORG 7/1/13  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
HJ HEINZ CO.

GENERAL DESCRIPTION OF THIS BUSINESS  
FOOD PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      4/23/13  
ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
GLAXOSMITHKLINE PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
DRUGS & COSMETICS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
HCP INC. (HEALTHCARE PROPERTIES INVESTORS)

GENERAL DESCRIPTION OF THIS BUSINESS  
REAL ESTATE INVESTMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
GOOGLE INC

GENERAL DESCRIPTION OF THIS BUSINESS  
TECHNOLOGY - INTERNET

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
HOME DEPOT INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
GRUPO TELEVE SA S.A. GLOBAL

GENERAL DESCRIPTION OF THIS BUSINESS  
TV BROADCASTING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    12/9 / 13  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
HONDA MOTOR CO LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
AUTOMOTIVE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
HONG KONG & CHINA GAS

GENERAL DESCRIPTION OF THIS BUSINESS  
GAS & WATER UTILITY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
HOSPITALITY PROPERTIES TRUST

GENERAL DESCRIPTION OF THIS BUSINESS  
REIT - HOTELS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
HSBC HOLDING PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
BANK

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
HUTCHISON WHARFPORT LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
CONGLOMERATE - PORTS & TELECOMS, PROPERTY, ENERGY, INFRASTRUCTURE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ICON PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
CONTRACT RESEARCH FOR BIOPHARM BIOTECH MEDICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ING GROEP NV

GENERAL DESCRIPTION OF THIS BUSINESS  
FINANCIAL SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
INTEL CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
TECHNOLOGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
WY GLOBAL NATURAL RESOURCES

GENERAL DESCRIPTION OF THIS BUSINESS  
MUTUAL FUND - MATERIALS INDUSTRY FOCUS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☒ Other MUTUAL FUND (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
INTERNATIONAL PAPER CO.

GENERAL DESCRIPTION OF THIS BUSINESS  
PAPER & PACKAGING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
JOHNSON & JOHNSON INC

GENERAL DESCRIPTION OF THIS BUSINESS  
DRUGS & COSMETICS

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
INTUIT INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
BUSINESS & FINANCIAL SOLUTIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
JUNIPER NETWORKS INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
COMMUNICATIONS EQMT. NETWORK-INFRA-STRUCTURE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments: \_\_\_\_\_



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
KINDER MORGAN INCORPORATED

GENERAL DESCRIPTION OF THIS BUSINESS  
ENERGY TRANSPORTATION & STORAGE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12, 20, 13    1, 1, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
KOMATSU LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
CONSTRUCTION MACHINERY/VEHICLES & MACHINES <sup>INDUSTRIAL</sup>

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1, 1, 13    11, 6, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
KINDER MORGAN MANAGEMENT LLC

GENERAL DESCRIPTION OF THIS BUSINESS  
ENERGY TRANSPORTATION & STORAGE

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1, 1, 13    12, 23, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
KONINKRIJKE AHOLD NV

GENERAL DESCRIPTION OF THIS BUSINESS  
FOOD & BEVERAGE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1, 1, 13    1, 1, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
KINGFISHER PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
RETAILER - HOME IMPROVEMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1, 1, 13    8, 2, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
LS COMMUNICATIONS HLDGS INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
AEROSPACE & DEFENSE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1, 1, 13    1, 1, 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
LIBERTY INTERACTIVE CO

GENERAL DESCRIPTION OF THIS BUSINESS  
DIGITAL COMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    3 / 8 / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
MARKS & SPENCER GROUP PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
LIBERTY MEDIA CO

GENERAL DESCRIPTION OF THIS BUSINESS  
MEDIA, COMMUNICATIONS, ENTERTAINMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
MAXIM INTEGRATED PRODUCTS INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
SEMICONDUCTORS & SEMICONDUCTOR EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
LINNCO LLC

GENERAL DESCRIPTION OF THIS BUSINESS  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
MERCK & CO INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
METTLER TOLEDO INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
ELECTRONIC EQMT & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
MITSUBISHI UFG FINANCIAL

GENERAL DESCRIPTION OF THIS BUSINESS  
FINANCIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
MICROCHIP TECHNOLOGY INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
SEMICONDUCTOR PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
MONSANTO CO

GENERAL DESCRIPTION OF THIS BUSINESS  
CHEMICALS/AGRICULTURAL PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
MICROSOFT CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
TECHNOLOGY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
J. SAINSBURY PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
RETAILING-FOOD & STAPLES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
 ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

**SCHEDULE A-1**  
**Investments**  
**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
MS & AD INSURANCE  
GENERAL DESCRIPTION OF THIS BUSINESS  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
NESTLE SA  
GENERAL DESCRIPTION OF THIS BUSINESS  
FOOD & BEVERAGE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
NATIONAL GRID PLC  
GENERAL DESCRIPTION OF THIS BUSINESS  
UTILITY - ELECTRICITY & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
NETAPP INC.  
GENERAL DESCRIPTION OF THIS BUSINESS  
ENTERPRISE MGMT, DATA HARDWARE/  
SOFTWARE & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
NATIONAL OILWELL VARCO INC.  
GENERAL DESCRIPTION OF THIS BUSINESS  
ENERGY EQMT & SUPPLIES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
NIKE CORP  
GENERAL DESCRIPTION OF THIS BUSINESS  
ATHLETIC FOOTWEAR/APPEL/EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/13      \_\_\_\_/\_\_\_\_/13  
ACQUIRED      DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
NIPPON TELEGRAPH & TELEPHONE

GENERAL DESCRIPTION OF THIS BUSINESS  
TELECOM - PUBLIC UTILITY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
NOVO-NORDISK

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
NISOURCE INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
ENERGY HOLDING CO - GAS & ELECTRIC

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
NUCOR CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
STEEL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
NISSAN MOTOR LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
AUTOMOTIVE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 6/3/13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
LUKOIL OIL CO

GENERAL DESCRIPTION OF THIS BUSINESS  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY

ONEOK INC.

GENERAL DESCRIPTION OF THIS BUSINESS

natural gas purchase  
GAS UTILITY - transport, storage, distrib

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 13 / / 13  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

PEPSICO INC.

GENERAL DESCRIPTION OF THIS BUSINESS

FOOD & BEVERAGE

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 13 / / 13  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

ORIX CORP

GENERAL DESCRIPTION OF THIS BUSINESS

FINANCIAL PRODUCTS & SERVICES

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 13 / / 13  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

PETROLEO BRASILEIRO SA

GENERAL DESCRIPTION OF THIS BUSINESS

OIL & GAS

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 13 / / 13  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

PALL CORPORATION

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 13 / / 13  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

PFIZER INC.

GENERAL DESCRIPTION OF THIS BUSINESS

DRUGS & COSMETICS

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 13 / / 13  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
QUALCOMM INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
DIGITAL COMMUNICATIONS PRODUCTS/SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
SANOFI

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
RIO TINTO PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
MINING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
SAP AG

GENERAL DESCRIPTION OF THIS BUSINESS  
SOFTWARE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
SANDISK CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
COMPUTERS & PERIPHERAL EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
SCHLUMBERGER LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
TECHNOLOGY - OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY

SEADRILL LTD

GENERAL DESCRIPTION OF THIS BUSINESS

OFFSHORE DEEPWATER DRILLING - OIL & GAS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

SIGNET JEWELERS LIMITED

GENERAL DESCRIPTION OF THIS BUSINESS

SPECIALTY RETAIL JEWELER

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

SHIRE PLC

GENERAL DESCRIPTION OF THIS BUSINESS

PHARMACEUTICALS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

SPDR GOLD TRUST

GENERAL DESCRIPTION OF THIS BUSINESS

EXCHANGE TRADED FUND - GOLD

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☒ Other INVESTMENT TRUST (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

SEAGATE TECHNOLOGY PLC

GENERAL DESCRIPTION OF THIS BUSINESS

COMPUTERS & PERIPHERALS

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

SPECTRA ENERGY CORP

GENERAL DESCRIPTION OF THIS BUSINESS

NATURAL GAS TRANSPORT & DISTRIB

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

Comments:



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
STATOIL ASA

GENERAL DESCRIPTION OF THIS BUSINESS  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    5 / 8 / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
TE CONNECTIVITY LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
ELECTRONIC EQMT & SERVICES

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
SUMITOMO MITSU FINANCIAL GROUP INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
FINANCIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
TELECOM ITALIA SPA

GENERAL DESCRIPTION OF THIS BUSINESS  
TELECOMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
TARGET CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
TELEFONICA SA

GENERAL DESCRIPTION OF THIS BUSINESS  
TELECOMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

#### NAME OF BUSINESS ENTITY

TESCO PLC

#### GENERAL DESCRIPTION OF THIS BUSINESS

RETAILER

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

#### NAME OF BUSINESS ENTITY

TEXAS INSTRUMENTS INC.

#### GENERAL DESCRIPTION OF THIS BUSINESS

SEMICONDUCTORS & SEMICONDUCTOR EQUIP

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

#### NAME OF BUSINESS ENTITY

THE ADT CORPORATION

#### GENERAL DESCRIPTION OF THIS BUSINESS

SECURITY PRODUCTS & SERVICES

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

#### NAME OF BUSINESS ENTITY

THERMO FISHER SCIENTIFIC INC.

#### GENERAL DESCRIPTION OF THIS BUSINESS

ANALYTICAL TECHNOLOGIES & LAB PRODUCTS & SERVICES

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

#### NAME OF BUSINESS ENTITY

TIM PARTICIPACAOES SA

#### GENERAL DESCRIPTION OF THIS BUSINESS

TELECOMMUNICATIONS

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

#### NAME OF BUSINESS ENTITY

TOKIO MARINE HOLDINGS INC.

#### GENERAL DESCRIPTION OF THIS BUSINESS

INSURANCE

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY

TOTAL SA.

GENERAL DESCRIPTION OF THIS BUSINESS

OIL & GAS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

UBS AG

GENERAL DESCRIPTION OF THIS BUSINESS

FINANCIAL SERVICES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

TOYOTA MOTOR CORP.

GENERAL DESCRIPTION OF THIS BUSINESS

AUTOMOTIVE

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

UNILEVER NV

GENERAL DESCRIPTION OF THIS BUSINESS

CONSUMER GOODS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

TYCO INTERNATIONAL LTD

GENERAL DESCRIPTION OF THIS BUSINESS

SECURITY PRODUCTS & SERVICES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

NAME OF BUSINESS ENTITY

UNITED OVERSEAS BANK LTD.

GENERAL DESCRIPTION OF THIS BUSINESS

BANK

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/13 ACQUIRED \_\_\_\_/\_\_\_\_/13 DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

▶ NAME OF BUSINESS ENTITY

UNITED PARCEL SERVICE

GENERAL DESCRIPTION OF THIS BUSINESS

PACKAGE DELIVERY-LOGISTICS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 13        /        / 13  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

VISA INC.

GENERAL DESCRIPTION OF THIS BUSINESS

IT SERVICES-ELECTRONIC PAYMENTS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 13        /        / 13  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

UNITED HEALTHCARE GROUP INC.

GENERAL DESCRIPTION OF THIS BUSINESS

HEALTHCARE SERVICES

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 13        /        / 13  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

VODAPHONE GROUP PLC

GENERAL DESCRIPTION OF THIS BUSINESS

WIRELESS TELECOM

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 13        /        / 13  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

VERTEX PHARMACEUTICALS INC.

GENERAL DESCRIPTION OF THIS BUSINESS

PHARMACEUTICALS-BIOTECH

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 13        /        / 13  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

WALMART DE MEXICO SA

GENERAL DESCRIPTION OF THIS BUSINESS

MERCHANDISING

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 13        /        / 13  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
WAL MART STORES INC

GENERAL DESCRIPTION OF THIS BUSINESS  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
WILLIAMS COMPANIES INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
NATURAL GAS PRODUCTION, PROCESSING, DISTRO

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
WALT DISNEY CO.

GENERAL DESCRIPTION OF THIS BUSINESS  
ENTERTAINMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ARYZTA AG ZUERICH

GENERAL DESCRIPTION OF THIS BUSINESS  
BAKERY PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 11 / 6 / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
WEATHERFORD INTERNATIONAL

GENERAL DESCRIPTION OF THIS BUSINESS  
ENERGY EQMT & SERVICES.

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
BANCO BILBAO VIZ ARG

GENERAL DESCRIPTION OF THIS BUSINESS  
BANK-FINANCIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 13    \_\_\_\_\_ / \_\_\_\_\_ / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
BHP BILLITON

GENERAL DESCRIPTION OF THIS BUSINESS  
NATURAL RESOURCES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 13    12 / 18 / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
DAIMLER AG

GENERAL DESCRIPTION OF THIS BUSINESS  
Automotive

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 13    6 / 21 / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
BANK MONTREAL

GENERAL DESCRIPTION OF THIS BUSINESS  
BANK - FINANCIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
5 / 8 / 13    1 / 1 / 13  
 ACQUIRED    DISPOSED  
*Went over \$2000*

NAME OF BUSINESS ENTITY  
DANONE

GENERAL DESCRIPTION OF THIS BUSINESS  
Food

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 13    12 / 18 / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
CATERPILLAR INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
HEAVY EQMT MANUFACTURER

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
5 / 17 / 13    1 / 1 / 13  
 ACQUIRED    DISPOSED  
*Went over \$2000*

NAME OF BUSINESS ENTITY  
FACEBOOK INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
WEB PLATFORM & ONLINE APPLICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
7 / 25 / 13    1 / 1 / 13  
 ACQUIRED    DISPOSED  
*Went over \$2000*

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
FREEMONT McMOHRAN COPPER & GOLD INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
MINING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10/29/13 <sup>went over \$2000</sup>           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
HOYA CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
ELECTRONICS PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11/7/13           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
H LUNDBECK AS

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12/30/13 <sup>went over \$2000</sup>           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
INTESA SAN PAULO S.P.A.

GENERAL DESCRIPTION OF THIS BUSINESS  
BANKING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
2/4/13 <sup>went over \$2000</sup>           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
HITACHI LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
IT systems, power generation systems, industrial machinery, electronics, heavy eqpt, other

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12/19/13           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ITALCIMENTI FABRICH RIUNTE

GENERAL DESCRIPTION OF THIS BUSINESS  
CONSTRUCTION MATERIALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10/5/13 <sup>went over \$2000</sup>           /        / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
L BRANDS INC

GENERAL DESCRIPTION OF THIS BUSINESS  
SPECIALTY RETAILER - WOMAN'S & PERSONAL CARE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
5, 8, 13    9, 5, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
PENTAIR LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
WATER MGMT & FLUID PROCESSING PRODUCTS & SOLUTIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
9, 19, 13    1, 1, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
OMEGA HEALTHCARE INV INC

GENERAL DESCRIPTION OF THIS BUSINESS  
REIT - Real Estate Investment Trust

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12, 9, 13    1, 1, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
PEOPLES UNITED FINANCIAL INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
SAVINGS & LOAN HOLDING CO. - BANKING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
5, 21, 13    1, 1, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ONO PHARMACEUTICAL CO LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11, 7, 13    1, 1, 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
POSCO ADS

GENERAL DESCRIPTION OF THIS BUSINESS  
STEEL PRODUCTS MANUFACTURE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
4, 12, 13    1, 1, 13  
 ACQUIRED    DISPOSED

Comments:



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

#### NAME OF BUSINESS ENTITY

POTASH CO OF SASKATCHEWAN INC.

#### GENERAL DESCRIPTION OF THIS BUSINESS

FERTILIZER & Related industrial and feed products

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

11, 4, 13 ACQUIRED 1, 1, 13 DISPOSED

#### NAME OF BUSINESS ENTITY

RIVERBED TECH INC

#### GENERAL DESCRIPTION OF THIS BUSINESS

IT Solutions for wide area networks

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

11, 8, 13 ACQUIRED 1, 1, 13 DISPOSED

#### NAME OF BUSINESS ENTITY

ROYAL DUTCH SHELL PLC

#### GENERAL DESCRIPTION OF THIS BUSINESS

OIL & GAS

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

1, 29, 13 ACQUIRED 11, 6, 13 DISPOSED

#### NAME OF BUSINESS ENTITY

SAFRAN S.A.

#### GENERAL DESCRIPTION OF THIS BUSINESS

Aircraft & rocket engines & jet propulsion systems

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

12, 9, 13 ACQUIRED 1, 1, 13 DISPOSED

#### NAME OF BUSINESS ENTITY

SEIKO EPSON CORP

#### GENERAL DESCRIPTION OF THIS BUSINESS

Equipment Manufacturer

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

1, 1, 13 ACQUIRED 11, 5, 13 DISPOSED

#### NAME OF BUSINESS ENTITY

STAPLES INC

#### GENERAL DESCRIPTION OF THIS BUSINESS

Retailer - Office Products

#### FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

#### NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe) \_\_\_\_\_  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

#### IF APPLICABLE, LIST DATE:

2, 25, 13 ACQUIRED 12, 17, 13 DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

#### NAME OF BUSINESS ENTITY

ST MICROELECTRONICS NV

GENERAL DESCRIPTION OF THIS BUSINESS

SEMICONDUCTOR PRODUCTS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/25/13  
 ACQUIRED DISPOSED

#### NAME OF BUSINESS ENTITY

TREND MICRO INC

GENERAL DESCRIPTION OF THIS BUSINESS

SECURITY SOFTWARE

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

3/11/13 went over \$2000  
 ACQUIRED DISPOSED

#### NAME OF BUSINESS ENTITY

SUEZ ENVIRONNEMENT

GENERAL DESCRIPTION OF THIS BUSINESS

ENVIRONMENTAL SERVICES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

10/23/13 went over \$2000  
 ACQUIRED DISPOSED

#### NAME OF BUSINESS ENTITY

VENTAS INC

GENERAL DESCRIPTION OF THIS BUSINESS

REIT-Real Estate Investment Trust

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

12/10/13  
 ACQUIRED DISPOSED

#### NAME OF BUSINESS ENTITY

SUNCOR ENERGY INC.

GENERAL DESCRIPTION OF THIS BUSINESS

ENERGY - PETROLEUM

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

3/11/13 went over \$2000  
 ACQUIRED DISPOSED

#### NAME OF BUSINESS ENTITY

WM MORRISON SUPERMARKETS PLC

GENERAL DESCRIPTION OF THIS BUSINESS

SUPERMARKETS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

2/28/13 went over \$2000  
 ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
WOLSELEY PLC

GENERAL DESCRIPTION OF THIS BUSINESS  
PLUMBING & HEATING PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1, 29, 13           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
YUM BRANDS INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
RESTAURANTS - QUICK SERVICE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
6, 7, 13           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
XILINX INC

GENERAL DESCRIPTION OF THIS BUSINESS  
Design & develop programmable devices

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
7, 31, 13           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ISIS PHARMACEUTICALS INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
3, 6, 13           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
YAHOO! JAPAN CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
INTERNET SEARCH SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12, 19, 13           /        / 13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
NOVARTIS AG ADR

GENERAL DESCRIPTION OF THIS BUSINESS  
HEALTHCARE PRODUCTS - PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
2, 1, 13           /        / 13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARLY JO MANDEL

NAME OF BUSINESS ENTITY  
TWENTY FIRST CENTURY FOX

GENERAL DESCRIPTION OF THIS BUSINESS  
MEDIA & ENTERTAINMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/13    1/1/13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
WOLTERS KLUWER NV

GENERAL DESCRIPTION OF THIS BUSINESS  
CONTENT SOLUTIONS - PRINTING & PUBLISHING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

went over \$2000  
 IF APPLICABLE, LIST DATE:  
8/5/13    12/11/13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
FUSIFILM HDGS CORP

GENERAL DESCRIPTION OF THIS BUSINESS  
IMAGING, INFORMATION & DOCUMENT SOLUTIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
5/8/13    1/1/13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
ABBVIE INC.

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/13    2/27/13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
TAKEDA PHARMACEUTICAL CO LTD

GENERAL DESCRIPTION OF THIS BUSINESS  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/25/13    1/1/13  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/13    1/1/13  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE D Income - Gifts

<b>CALIFORNIA FORM 700</b>
FAIR POLITICAL PRACTICES COMMISSION
Name <u>MARCY JO MANDEL</u>

► NAME OF SOURCE (Not an Acronym)

CYNTHIA BRIDGES

ADDRESS (Business Address Acceptable)

BOE, 450 N STREET, SACRAMENTO, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Executive Director, BOE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1, 29, 13</u>	<u>\$49.99</u>	<u>King Cake</u>
<u>12, 17, 13</u>	<u>\$31.00</u>	<u>Popcorn Tin</u>
<u>  /  /  </u>	<u>\$  </u>	<u>  </u>

VERNE COLLECTION INC. (MICHAEL VERNE)

ADDRESS (Business Address Acceptable)

2207 Murray Hill Road, Cleveland OH 44106

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Art Gallery

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1, 26, 13</u>	<u>\$300.00</u>	<u>3 tickets to LA Art Show</u>
<u>  /  /  </u>	<u>\$  </u>	<u>- face value but used as a</u>
<u>  /  /  </u>	<u>\$  </u>	<u>one day general admission</u>
<u>  /  /  </u>	<u>\$  </u>	<u>Pass - face value of 3 would</u>
<u>  /  /  </u>	<u>\$  </u>	<u>be \$60.00</u>

► NAME OF SOURCE (Not an Acronym)

LIVE TALKS LOS ANGELES

ADDRESS (Business Address Acceptable)

unknown. livetalksla.org

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Arranges Speaker presentations

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4, 17, 13</u>	<u>\$60.00</u>	<u>3 tkts to Ed Moses*</u>
<u>5, 14, 13</u>	<u>\$22.00</u>	<u>tket to Burt Bacharach</u>
<u>6, 18, 13</u>	<u>\$20.00</u>	<u>tket to Colum McCann*</u>

► NAME OF SOURCE (Not an Acronym)

LOS ANGELES COUNTY BAR ASSN

ADDRESS (Business Address Acceptable)

1055 W. 7th ST, STE 2700, LOS ANGELES, CA 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE

PROFESSIONAL ORGANIZATION

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6, 7, 13</u>	<u>\$60</u>	<u>Lunch Program*</u>
<u>  /  /  </u>	<u>\$  </u>	<u>*I presented certificate</u>
<u>  /  /  </u>	<u>\$  </u>	<u>  </u>

► NAME OF SOURCE (Not an Acronym)

MULTISTATE TAX COMMISSION

ADDRESS (Business Address Acceptable)

444 N. CAPITOL ST, N.W. #425, WASHINGTON, DC

BUSINESS ACTIVITY, IF ANY, OF SOURCE

ASSOCIATION OF GOVERNMENTS (TAX FOCUS)

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>7, 24, 13</u>	<u>\$250.88</u>	<u>Annual Meeting Conference</u>
<u>  /  /  </u>	<u>\$  </u>	<u>morning session, break:</u>
<u>  /  /  </u>	<u>\$  </u>	<u>lunch only - food &amp;</u>
<u>  /  /  </u>	<u>\$  </u>	<u>Beverage portion is</u>
<u>  /  /  </u>	<u>\$  </u>	<u>\$104.98</u>

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>  /  /  </u>	<u>\$  </u>	<u>  </u>
<u>  /  /  </u>	<u>\$  </u>	<u>  </u>
<u>  /  /  </u>	<u>\$  </u>	<u>  </u>

Comments: \* source may be William Turner gallery, Santa Monica